

## **AASHTO Task Force on Transportation Security**

### **Background**

The terrorist activities of September 11, 2001 and subsequent potential threats to the nation's transportation systems have initiated reviews by State DOT's and other transportation agencies of the vulnerability of their critical infrastructure assets along with their ability to respond to the mobility needs of the nation in the event of major incidents on and off the transportation system. Because this is an issue of national significance it is important there be a consistent methodology and common resources to assist State DOT's in this effort.

### **Task Force Charge**

Establish guidance and share practices that help State DOT's prepare vulnerability assessments of their highway infrastructure assets, develop deterrence/surveillance/protection plans, develop emergency response plans and capabilities for handling traffic for major incidents on and off the transportation system and assess and respond to military mobilization needs in each state.

The task force efforts should address the following three areas:

- 1) Physical features of the highway system,
- 2) Information systems that are used to manage traffic operations, and
- 3) Commercial vehicle operations on the highway system

Examples of the results of the task force efforts could include:

- a) Guidebooks for vulnerability assessment, deterrent measures and emergency response planning;
- b) Website—parts secured and parts open to the public—for sharing information, studies, contact points, etc.;
- c) Training courses in vulnerability assessment, deterrent and protection measures, and emergency response planning;
- d) Forums for sharing ideas and practices

**AASHTO Transportation Security Taskforce Revised Action Items List**  
**January 31, 2002**

<b>PHASE 1 - TOP PRIORITY ITEMS</b>				
<b>ACTION ITEM</b>	<b>DUE DATE</b>	<b>LEAD</b>	<b>Task Force Team Members</b>	<b>Likely Consultant Support</b>
<p><b>1.1 Short-Term Federal Legislative Agenda</b></p> <p>Establish a set of legislative options for highway security &amp; defense mobilization issues that address state DOTs' critical needs prior to TEA-21 Re-authorization. The defense mobilization infrastructure needs will also be estimated.</p>	<b>Jan-June '02</b>	<b>AASHTO MTMC/DOD</b>	Tony Kane* Robert Franz	
<p><b>Status</b></p> <p><b>To Do:</b> T. Kane to prepare AASHTO/Task Force letter to CAOs providing status update on Task Force activities to date and requesting their input on legislative agenda.</p>				
<p><b>1.2 Vulnerability Assessment Handbook</b></p> <p>Produce a quick turnaround, "how to" guide for state DOTs that addresses approaches for identifying critical transportation infrastructure and preparing vulnerability &amp; consequences assessments and possible countermeasures. Guide will be based on identification of best practices from about 5-10 key states that, to the extent possible, reflect the diversity of challenges facing states.</p>	<b>April '02</b>	<b>AASHTO FHWA</b>	Tony Kane Paul Liles Mary Lou Ralls* David Albright Art Hamilton Jim Cooper	<b>SAIC NCHRP Contract</b>
<p><b>Status</b></p> <p><b>Underway:</b> Consultant under contract to develop handbook.</p> <p><b>To Do:</b> Task force members indicate that best practices-based handbook must draw on experiences from a diverse set of states.</p>				
<p><b>1.3 Emergency Response Handbook</b></p> <p>Produce a quick turnaround, "how to" guide for state DOTs for emergency response planning and response for major incidents on and off the transportation system.</p>	<b>April '02</b>	<b>AASHTO FHWA</b>	Dave Ekern* Christine Johnson / Vince Pearce Doug Van Buren Tony Kane Bill Medigovich Steve Mondul Terry Simmonds Joe Hill	<b>Parsons Brinckerhoff NCHRP Contract</b>
<p><b>Status</b></p> <p><b>Underway:</b> Consultant is under contract to prepare Emergency Response Handbook.</p>				
<p><b>1.4 State DOT Sept 11 Case Studies</b></p> <p>Develop a set of case studies for print/web distribution that profile selected states responses &amp; decision-making processes that were implemented during the 9-11 incidents, using interviews with key participants in each state.</p>	<p><b>Jan '02 (9-11 case studies)</b></p> <p><b>Apr '02 (Volpe report)</b></p>	<p><b>Tom Hicks (MD) Steve Mondul (VA)</b></p> <p><b>Christine Johnson (Volpe)</b></p>	<p>Tom Hicks Steve Mondul* Christine Johnson*</p>	
<p><b>Status</b></p> <p><b>To do/Underway:</b> Set of state-specific 9-11 case studies close to completion. Volpe Center producing report that includes both 9-11 related transportation responses and responses to the Baltimore tunnel fire and natural disasters in California. Results will be posted on AASHTO website</p>				

**PHASE 1 - TOP PRIORITY ITEMS (CONTINUED)**

ACTION ITEM	DUE DATE	LEAD	Task Force Team Members	Likely Consultant Support
<p><b>1.5 State DOT Transportation Security Survey</b> Devise &amp; implement a quick turnaround survey of all state DOTs (via CEO's and State DOT/TRB reps). Basic products to be developed from survey results and follow-up surveys and studies include:</p> <ul style="list-style-type: none"> <li>• List of state DOT emergency management directors</li> <li>• List of state DOT leads for vulnerability assessments</li> <li>• Research needs; technical assistance and training needs</li> <li>• Summary of security planning efforts underway in states</li> </ul>	<p><b>Initial Results: Mid Jan '02</b></p> <p><b>Follow up and analysis: Feb '02</b></p>	<p><b>AASHTO</b></p> <p><b>TRB</b></p>	<p>David Albright * Tony Kane</p>	<p>Yes</p>
<p><b>Status</b></p> <p><b>Completed:</b> Survey is complete with 49 out of 52 AASHTO members responding. Draft report on survey results presented at TRB. Two remaining states' responses by the end of January.</p> <p><b>To Do:</b> Presentation of results will be posted on AASHTO/TRB websites. D. Albright will coordinate follow up to survey responses to get a better understanding of State's responses on research and training needs. (Follow up will be done in a way that ensures the confidentiality of respondents.) D. Albright will coordinate additional analysis of survey results to break out findings by geographic area, etc.</p>				
<p><b>1.6 Secure Website &amp; Clearinghouse</b></p> <p>Initiate AASHTO website-based clearinghouse for sharing lists, studies, &amp; Task Force products (e.g. survey results, vulnerability assessment guide, etc.) as they are developed. Include password-protected element.</p>	<p><b>'02</b></p>	<p><b>AASHTO</b></p> <p><b>FHWA</b></p>	<p>Dave Ekern * Art Hamilton * Christine Johnson</p>	<p>Yes</p>
<p><b>Status</b></p> <p><b>To Do:</b> AASHTO will prepare an assessment of the scope of website structure and content. Next steps also include: 1) Follow up with selected state DOTs to determine what information they would provide on a secure/non-secure website. 2) Collect/evaluate material and technical products, links to other groups (e.g. assorted modal associations) for posting on website.</p>				

\* Task Lead

**PHASE 2 – ADDITIONAL PRIORITY ITEMS (TO BE COMPLETED WITHIN 12 MONTHS)**

ACTION ITEM	DUE DATE	LEAD	Task Force Team Members	Likely Consultant Support
<b>2.1 Comments on Draft LATSEC Plan and New Plan</b>	<b>March '02</b>	<b>Task Force</b>	Art Hamilton *	
<p><b>Status</b>  <b>Completed:</b> Comments from Task Force members have been received.  <b>To Do:</b> Develop consistency with Office of Homeland Security's threat level identification system.</p>				
<b>2.2 Piggyback Transportation Security Sessions</b>  Identify & organize transportation security sessions that can piggyback on scheduled events. (e.g. Incident Management conference, AASHTO National Transportation Public Affairs Workshop, TRB Annual Mtg., and AASHTO Annual Mtg.) Sessions report on Task Force activities, initial DOT survey results, state case studies, vulnerability assessment approaches. Include AASHTO Mtg session for DOT CEOs.	<b>Ongoing</b>	<b>AASHTO</b>	All Members	
<p><b>Status</b>  <b>Completed:</b> Transportation Security Sessions were included at TRB Annual Meeting, Incident Management Conference and AASHTO Annual Meeting.  <b>To Do:</b> Additional opportunities to incorporate sessions will be pursued.</p>				
<b>2.3 Transportation Security Handbooks</b>  Produce a guide(s) for state DOTs on highway transportation security planning that includes, but is not limited to: <ul style="list-style-type: none"> <li>• Design guides for structures and tunnels</li> <li>• Mitigation of attack impacts</li> <li>• Evacuation and major incident response planning</li> <li>• Quarantine planning</li> <li>• Motor carrier issues</li> <li>• Surveillance techniques for critical bridge, tunnel, &amp; intermodal connections infrastructure</li> <li>• Media management techniques</li> </ul> Review	<b>Throughout '02</b>	<b>AASHTO FHWA</b>	Mary Lou Ralls Christine Johnson / Vince Pearce Art Hamilton Bill Medigovich / Jan Benine Tom Hicks Terry Simmonds Derrick Jenkins	<b>Yes</b>
<p><b>Status</b>  <b>Ongoing:</b> AASHTO and the Task Force will evaluate need for further possible handbooks.</p>				

**PHASE 2 – CONTINUED**

<b>ACTION ITEM</b>	<b>DUE DATE</b>	<b>LEAD</b>	<b>Task Force Team Members</b>	<b>Likely Consultant Support</b>
<p><b>2.4 Long-Term Federal Legislative Agenda</b> Address key capital and operations needs, with emphasis on a) responding to DOTs' vulnerability and emergency response assessments, b) linking to TEA-21 reauthorization process. Include Security Chapter or Appendix in AASHTO "bottomline" conditions &amp; needs report.</p>	<p align="center"><b>Sept '02</b></p>	<p align="center"><b>AASHTO</b></p>	<p>Tony Kane* Dave Ekern Christine Johnson* / Vince Pearce</p>	<p align="center"><b>Yes</b></p>
<p><b>Status</b> <b>To Do:</b> AASHTO will get consultant assistance in preparing Security Chapter as well as background material (such as an assessment of security-related capital needs for TEA-21 reauthorization) to support long-term federal legislative agenda.</p>				
<p><b>2.5 Regional Transportation Security Forums</b> Hold series of regional transportation security forums. Forums promote exchange of ideas on vulnerability assessments, security and emergency response planning, motor carrier security, federal legislative needs, training opportunities, etc., as well as contact between key personnel.</p>	<p align="center"><b>Ongoing thru '02</b></p>	<p align="center"><b>AASHTO FHWA</b></p>	<p>Art Hamilton */ Jim Cooper Christine Johnson* / Vince Pearce Dave Ekern Terry Simmonds / Dave Acree</p>	<p align="center"><b>Yes</b></p>
<p><b>Status</b> <b>To Do:</b> FHWA is taking lead on preparation of a set of 2-day workshops incorporating day-of disaster and day-after planning. Efforts will include state/local highway emergency management, local FBI office, etc. and have a metropolitan area focus. FTA is also planning regional forums. FHWA will also develop strategy for regional forums on vulnerability assessment and explore one day workshop in conjunction with the May AASHTO Bridge Committee meeting.</p>				
<p><b>2.6 State DOT Training Program</b> Coordinate with other partners to develop a program of training that relates to transportation security needs. Other partners with existing training courses might include FTA, DOE, DOD, FEMA, as well as state and local agencies.</p>	<p align="center"><b>Feb '02</b></p>	<p align="center"><b>AASHTO FHWA</b></p>	<p>Christine Johnson / Vince Pearce Tom Hicks* Terry Simmonds / Dave Acree Gary Hoffman</p>	<p align="center"><b>Yes</b></p>
<p><b>Status</b> <b>Completed:</b> Training needs list prepared <b>To do:</b> Training list needs to be reviewed for consistency with survey results and possible follow-up surveys.. Consultant assistance will be needed to develop training program and document existing training courses.</p>				

**PHASE 2 (CONTINUED)**

ACTION ITEM	DUE DATE	LEAD	Task Force Team Members	Likely Consultant Support
<p><b>2.7 Coordination &amp; Relationship Building</b>                      Reach out to non-traditional partners through AASHTO to build new working relationships on transportation security issues:</p> <ul style="list-style-type: none"> <li>• Public safety community</li> <li>• National guard/military</li> <li>• State Emergency Management Assoc.; NGA</li> <li>• FEMA, DOE, DOD, RSPA. other federal agencies</li> <li>• Transit/FTA/APTA</li> <li>• Office of Homeland Security</li> <li>• Trucking/FMCSA/ATA</li> <li>• Railroads/FRA</li> <li>• Tie in the website as a tool for coordination</li> </ul>	Ongoing thru '02	AASHTO	Tony Kane Dave Ekern Bill Medigovich / Jan Benini Christine Johnson / Vince Pearce Art Hamilton	
<p><b>Status</b>  <b>Ongoing:</b> Task force members are encouraged to make contact with other organizations. Invite outside organizations to present at next Task Force meeting (Art will contact FEMA) .</p>				
<p><b>2.8 Research Program</b>                      Create comprehensive list of priority research needs based on the questionnaire results, etc. on topics such as standards for protecting critically important assets, need for changes to AASHTO design guides to address threats, etc.</p>	Jan - Mar '02	Task Force Team	David Albright* Mary Lou Ralls Tom Hicks Christine Johnson / Vince Pearce	
<p><b>Status</b>  <b>To Do:</b> Follow-up with individual states regarding research needs identified in survey results. Research follow-up by state. Compile list of completed research and research underway. Consider AASHTO sponsorship of security research priorities meeting with other stakeholders (e.g. FHWA, etc).</p>				
<p><b>2.9 Review Emergency Management Assistance Compact (EMAC)</b>                      Review ways to expand and improve effectiveness of EMAC, which allows sharing of equipment, assistance across states during major incidents.</p>	March '02	Task Force Team	Derrick Jenkins* Terry Simmonds Christine Johnson / Vince Pearce	
<p><b>Status</b>  <b>To Do:</b> Consider monetary assistance request to AASHTO. Prepare an update of states participating in EMAC. Share EMAC information with states that are not currently participating.</p>				

**PHASE 2 – NEW TASK FORCE ITEMS**

<b>ACTION ITEM</b>	<b>DUE DATE</b>	<b>LEAD</b>	<b>Task Force Team Members</b>	<b>Likely Consultant Support</b>
<p><b>2.10 Sub Task Force on Security/Intelligence Issues</b>                      Establish a sub task force to focus on security/intelligence issues such as alert mechanisms, obtaining security clearances, sharing intelligence information, etc. with suggestions for action by next Task Force meeting.</p>	<p><b>April '02</b></p>	<p>Task Force Team</p>	<p>Steve Mondul                      Terry Simmonds                      Christine Johnson / Vince Pearce                      Derrick Jenkins                      Dave Price</p>	
<p><b>2.11 Review NCHRP RFQ Consultant Qualifications</b>                      Team of Task Force members will review and select consultant teams for NCHRP research activities, based on qualifications received in response to the NCHRP RFQ.</p>	<p><b>Feb '02</b></p>	<p>Task Force Team</p>	<p>Christine Johnson / Vince Pearce                      Art Hamilton / Jim Cooper                      Terry Simmonds                      Tony Kane *                      Dave Ekern                      Henry                      Hungerbeeler                      Joe Hill</p>	
<p><b>2.12 FHWA Blue Ribbon Panel on Bridge Security –</b>                      Establish a high-level panel on bridge security, which could be part of Task Force and which focuses on design, security, structure, etc related to bridge hardening. Produce guidance on short-term and long-term efforts in this area. Use nationally recognized experts.</p>		<p>Task Force Team</p>	<p>Mary Lou Ralls                      Paul Giles                      Art Hamilton / Jim Cooper *</p>	
<p><b>2.13 NCHRP Advisory Panel</b>                      Prioritize the needed research topics utilizing the special NCHRP funding.</p>	<p>Continuing</p>	<p>Task Force Team</p>	<p>Christine Johnson / Vince Pearce                      Art Hamilton / Jim Cooper                      Terry Simmonds                      Tony Kane *                      Dave Ekern                      Henry                      Hungerbeeler                      Joe Hill                      Tom Hicks                      David Albright                      Mary Lou Ralls</p>	

## AASHTO TASK FORCE ON TRANSPORTATION SECURITY

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## AASHTO TASK FORCE ON TRANSPORTATION SECURITY

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## AASHTO TASK FORCE ON TRANSPORTATION SECURITY

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